

Recall: • a metric space (X, d) = set with distance function $d: X \times X \rightarrow \mathbb{R}_{\geq 0}$ st.

1) $d(p, q) = 0$ iff $p = q$, 2) $d(p, q) = d(q, p)$, 3) $d(p, r) \leq d(p, q) + d(q, r)$

• open balls $B_r(p) = \{x \in X \mid d(p, x) < r\}$. $U \subset X$ is open iff $\forall p \in U \exists r > 0$ st. $B_r(p) \subset U$.

• $f: X \rightarrow Y$ is continuous $\Leftrightarrow \forall p \in X \forall \varepsilon > 0 \exists \delta > 0$ st. $f(B_\delta(p)) \subset B_\varepsilon(f(p))$

$\Leftrightarrow \forall U \subset Y$ open, $f^{-1}(U) \subset X$ is open

$\hookrightarrow$ this will be the defⁿ outside of the metric case.

• a sequence $p_n \rightarrow p$ in (X, d) if $\forall \varepsilon > 0 \exists N$ st. $n \geq N \Rightarrow d(p_n, p) < \varepsilon$.

$p_n \rightarrow p \Leftrightarrow$ every open subset $U \ni p$ contains p_n for all but finitely many n .

This will be the definition of limit outside the metric case.

* We will now reformulate / generalize all this in the context of topological spaces,

ie. sets equipped with a topology which may or may not come from a metric.

Def: A topological space = a set X together with a collection $\mathcal{T} \subset \mathcal{P}(X)$, the open sets in X , such that

- $\emptyset \in \mathcal{T}$, $X \in \mathcal{T}$
- arbitrary unions of open sets are open
- finite intersections of open sets are open.

Why bother? One answer: many natural topologies do not come from a metric! Eg, in analysis:

• on the space of (bounded) functions $f: X \rightarrow \mathbb{R}$, uniform convergence topology

$(f_n \rightarrow f \text{ iff } \sup_x |f_n(x) - f(x)| \rightarrow 0)$ comes from a metric ($d(f, g) = \sup_x |f(x) - g(x)|$)

but pointwise convergence ($f_n \rightarrow f \text{ iff } \forall x \in X f_n(x) \rightarrow f(x)$) doesn't. ("product topology")

• C^∞ topology on smooth functions $\mathbb{R} \rightarrow \mathbb{R}$ doesn't come from a metric either.

And on the other hand, a metric contains extraneous information for topology

Eg. $(\mathbb{R}^n, d)$, $(\mathbb{R}^n, d_1)$, $(\mathbb{R}^n, d_\infty)$ have the same open sets $\Rightarrow$ same topology

Def:

- $f: X \rightarrow Y$ is continuous if $\forall U \subset Y$, U open $\Rightarrow f^{-1}(U) \subset X$ is open.
- a sequence $\{p_n\}$ in X converges to a limit p ($p_n \rightarrow p$) if $\forall U \ni p$ open, $\exists N \in \mathbb{N}$ st. $n \geq N \Rightarrow p_n \in U$.

Ex: • (X, d) metric space $\Rightarrow \mathcal{T} = \{U \subset X \mid \forall p \in U \exists \varepsilon > 0 \text{ st. } B_\varepsilon(p) \subset U\}$ metric topology

• discrete topology: $\mathcal{T} = \mathcal{P}(X)$ (every subset is open and closed.)

(this is in fact a metric topology: set $d(x, y) = 1 \ \forall x \neq y$.)

(eg. usual top. on $\mathbb{Z} \subset \mathbb{R}$.)

These abstract def's imply basic facts about continuity, such as:

Prop. || • if $f: X \rightarrow Y$ continuous, $p_n \rightarrow p$ in $X \Rightarrow f(p_n) \rightarrow f(p)$ in Y ②
 • $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ continuous $\Rightarrow g \circ f: X \rightarrow Z$ continuous. Exercise: check this.

- * Given two topologies τ, τ' on X , if $\tau \subset \tau'$ we say τ' is finer, τ is coarser.
 The finest topology on X is the discrete one (all points are isolated), while the coarsest is $\{\emptyset, X\}$ ("one big clump").
- The finer topology τ' has more open sets, it's easier for functions $X \rightarrow Y$ to be continuous wrt τ' than τ (every function from a discrete set is continuous).
 It's harder for sequences to converge in τ' (eg. on a discrete set, convergent sequences must be constant after finitely many terms; while for $\tau = \{\emptyset, X\}$ every sequence converges to every point of X , in particular limit isn't unique!).

- * Keeping track of all the open sets is cumbersome - in metric spaces we started with open balls & got a characterization of open sets in terms of these.
 The analogous notion for a general topology is that of basis.

Def. || Assume $\mathcal{B} \subset \mathcal{P}(X)$ is a collection of subsets of X st. 1) $\bigcup_{B \in \mathcal{B}} B = X$,
 2) if $B_1, B_2 \in \mathcal{B}$ and $x \in B_1 \cap B_2$ then $\exists B' \in \mathcal{B}$ st. $x \in B' \subset B_1 \cap B_2$.
 Then we say $\mathcal{B}$ is a basis and generates the topology $\tau =$ arbitrary unions of elements of $\mathcal{B}$.
 Equivalently: $U \in \tau \Leftrightarrow \forall x \in U \exists B \in \mathcal{B}$ st. $x \in B \subset U$.



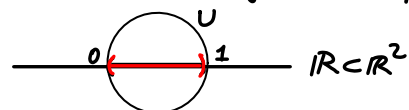
Check: (1) the two characterizations of τ are equivalent, (2) τ is a topology

Remark: Unlike bases in lin. alg., bases in topology can contain redundant info - a better analogy is with generating sets... eg. metric topology is generated by any of:
 all open sets; open balls $B_r(x)$, $x \in X$, $r > 0$; open balls $B_{1/n}(x)$, $x \in X$;
 open balls $B_{1/n}(y)$, $y \in Y \subset X$ dense subset (every nonempty open intersects Y) eg. $\mathbb{Q} \subset \mathbb{R}$.
 So for example the usual topology on $\mathbb{R}$ or $\mathbb{R}^n$ actually admits a countable basis!

* Making new topological spaces: subspaces, products.

Def. || (X, τ_X) top. space, $Y \subset X$ any subset $\Rightarrow$ the subspace topology on Y is
 $\tau_Y = \{U \cap Y \mid U \in \tau_X\}$. (Verify: this satisfies the axioms of a topology).

⚠ It's important when stating " U is open" to be clear: as a subset of what space?
 Eg. Y is always open as a subset of itself!
 $(0,1) \subset \mathbb{R} \subset \mathbb{R}^2$ is open in $\mathbb{R}$ but not in $\mathbb{R}^2$.



It's the coarsest topology on Y that makes the inclusion $Y \hookrightarrow X$ continuous. (HW) ③
 Also, if T_X comes from a metric d on X , then T_Y comes from $d|_Y : Y \times Y \hookrightarrow X \times X \xrightarrow{d} \mathbb{R}_{\geq 0}$.

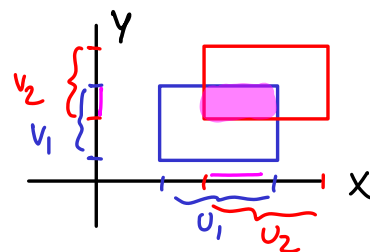
Def. 2: $\parallel (X, T_X), (Y, T_Y)$ top. spaces $\Rightarrow$ the product topology on $X \times Y$ is the topology generated by basis $B = \{U \times V \mid U \subset X \text{ open}, V \subset Y \text{ open}\}$.

(Check B is a basis. Why isn't B already a topology?)

• When X, Y are metric spaces, this is also a metric

topology, defined eg. by $d_{X \times Y}^\infty((x_1, y_1), (x_2, y_2)) = \max(d_X(x_1, x_2), d_Y(y_1, y_2))$

(check! key observation: $B_r^{X \times Y}((x, y)) = B_r^X(x) \times B_r^Y(y)$).



Or in fact $d_{X \times Y}^2 = \sqrt{d_X(x_1, x_2)^2 + d_Y(y_1, y_2)^2}$, $d_{X \times Y}^1 = d_X(x_1, x_2) + d_Y(y_1, y_2)$ define the same topology on $X \times Y$ (see HW for case of $\mathbb{R}^2$). So this gives usual top. on $\mathbb{R}^n$.

• In general, it's the coarsest topology on $X \times Y$ st the projection maps $X \times Y \xrightarrow{P_1} X$
 $X \times Y \xrightarrow{P_2} Y$ are continuous. (HW!)

Also: $(x_n, y_n) \rightarrow (x, y)$ iff $x_n \rightarrow x$ and $y_n \rightarrow y$.

• Similarly for finite products $X_1 \times \dots \times X_n$. For infinite products there are several different natural topologies; see next week.

Homeomorphisms: what is the correct notion of 2 top. spaces being "the same"?

Def: $\parallel X, Y$ are homeomorphic if there exist continuous maps $f: X \rightarrow Y$ and $g: Y \rightarrow X$ st. $f \circ g = \text{id}_Y$, $g \circ f = \text{id}_X$.

Equivalently, a homeomorphism $f: X \rightarrow Y$ is a continuous bijection st. f^{-1} continuous
 I.e.: a bijection $X \leftrightarrow Y$ under which $T_X \leftrightarrow T_Y$.

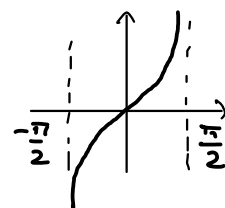
Rank: • a continuous bijection need not be a homeomorphism

Eg: X with 2 topologies, T' strictly finer than $T \Rightarrow \text{id}_X: (X, T') \rightarrow (X, T)$ is a bijection, continuous since $U \in T \Rightarrow \text{id}^{-1}(U) = U \in T'$, but not homeo.

• say a metric space (X, d) is bounded if $\text{diam}(X) = \sup \{d(p, q) \mid p, q \in X\} < \infty$

This is not a top. property, eg. $f: (-\frac{\pi}{2}, \frac{\pi}{2}) \rightarrow \mathbb{R}$
 $x \mapsto \tan x$

is a homeomorphism ($\tan$ & $\arctan$ are continuous),
 so $\mathbb{R}$ is homeo to $(-\frac{\pi}{2}, \frac{\pi}{2})$ (or any open interval in $\mathbb{R}$)



closed sets: Def: $\parallel$ a subset A of a topological space X is closed if $X \setminus A$ is open.

$\triangleq$ subsets can be both closed & open, eg. $\emptyset$ and X , or neither (eg. $[0, 1)$ or $\mathbb{Q}$ in $\mathbb{R}$)

Axioms of open sets imply: $\begin{cases} \bullet \emptyset, X \text{ are closed} \\ \bullet \text{arbitrary intersections of closed sets are closed} \\ \bullet \text{finite unions of closed sets are closed.} \end{cases}$

(4)

Def: $A \subset X$ any subset $\Rightarrow$ we define

1) the closure of A : $\bar{A} = \text{smallest closed set containing } A = \bigcap_{\substack{F \supset A \\ F \text{ closed}}} F$
($\bar{A} \supset A$, $\bar{A}$ closed since it's $\cap$ of closed)

2) the interior of A , $\text{int}(A) = \text{largest open set contained in } A$
 $= \bigcup_{U \subset A, U \text{ open}} U$ (open).

3) the boundary of A is $\partial A = \bar{A} - \text{int}(A)$



Ex: $A = [0, 1) \subset \mathbb{R}$, usual top. $\Rightarrow \bar{A} = [0, 1]$, $\text{int}(A) = (0, 1)$, $\partial A = \{0, 1\}$

Rmk: $\bullet A$ is closed iff $\bar{A} = A$, open iff $\text{int}(A) = A$.

$\bullet \overline{X-A} = X - \text{int}(A)$, $\text{int}(X-A) = X - \bar{A}$. (*)

Def: Say $U \subset X$ is a neighborhood of $p \in X$ if U is open and $p \in U$.



$\rightarrow$ Prop: $\parallel$ (1) $p \in \text{int}(A)$ iff A contains a neighborhood of p .
(2) $p \in \bar{A}$ iff every neighborhood of p intersects A nontrivially.

(check this! (1) follows from def^{ns}: $p \in \text{int}(A) \Leftrightarrow \exists U \text{ open st. } p \in U \subset A$.

(2) follows from (1) + (*): $p \in \bar{A} \Leftrightarrow p \notin \text{int}(X-A) \Leftrightarrow \forall U \ni p \text{ open, } A \cap U \neq \emptyset$).

Def: $\parallel$ say A is dense if $\bar{A} = X$. (ie. every nonempty open subset of X intersects A nontrivially).

Ex: $\mathbb{Q}$ is dense in $\mathbb{R}$ (for usual topology).

Next time we'll see the relation between closure, limit points, limits of sequences and introduce the Hausdorff property.