

Lecture 17: Limits, colimits, and some rings

17.1. Colimits.

DEFINITION 17.1. A *diagram* in \mathcal{C} is a functor $F : \mathcal{D} \rightarrow \mathcal{C}$. We say F is a diagram of shape \mathcal{D} .

EXAMPLE 17.2. Let $\mathcal{D} = * \coprod *$ be the category with two objects and only identity morphisms. A diagram in the shape of \mathcal{D} picks out two objects X, X' of \mathcal{C} .

DEFINITION 17.3. Fix a category \mathcal{D} . The (left) *cone* category on \mathcal{D} , denoted

$$\mathcal{D}^\triangleright$$

is the category where

- (1) $\text{Ob } \mathcal{D}^\triangleright := \text{Ob } \mathcal{D} \coprod \{*\}$
- (2)

$$\text{hom}_{\mathcal{D}^\triangleright}(X, Y) := \begin{cases} \text{hom}_{\mathcal{D}}(X, Y) & X, Y \in \text{Ob } \mathcal{D} \\ pt & Y = * \\ \emptyset & \text{otherwise} \end{cases}$$

Note, for instance, that even if \mathcal{D} already has a terminal object, $\mathcal{D}^\triangleright$ has a new terminal object, and it is not isomorphic to the original terminal object of \mathcal{D} .

Note also that composition is forced upon you, as the only new morphism spaces are empty or are singletons.

EXAMPLE 17.4. With \mathcal{D} as above, $\mathcal{D}^\triangleright$ looks as follows:

$$\begin{array}{ccc} & & * \\ & & \downarrow \\ * & \longrightarrow & *. \end{array}$$

DEFINITION 17.5. Fix a diagram $F : \mathcal{D} \rightarrow \mathcal{C}$. Then define

$$\mathbf{Fun}_{\mathcal{D}}(\mathcal{D}^{\triangleright}, \mathcal{C})$$

to be the category where

- (1) An object is a functor $F' : \mathcal{D}^{\triangleright} \rightarrow \mathcal{C}$ such that $F'|_{\mathcal{D}} = F$; i.e., the restriction to \mathcal{D} is the original diagram.
- (2) A morphism is a natural transformation $\eta : F' \rightarrow F''$ such that $\eta_{\mathcal{D}} = \text{id}_F$; i.e., the restriction to \mathcal{D} is just the identity natural transformation.

REMARK 17.6. The notation does not indicate the dependence on F .

EXAMPLE 17.7. Continuing the previous example, an object of $\mathbf{Fun}_{\mathcal{D}}(\mathcal{D}^{\triangleright}, \mathcal{C})$ picks out a diagram of the shape

$$\begin{array}{ccc} & & X \\ & & \downarrow f' \\ X' & \xrightarrow{g'} & Z \end{array}$$

and a morphism in this category picks out a commutative diagram as follows:

$$\begin{array}{ccccc} & & X & & \\ & & \downarrow f' & & \\ X' & \xrightarrow{g'} & Z & & \\ & \searrow g'' & \downarrow h & \searrow f'' & \\ & & & & W \end{array}$$

DEFINITION 17.8. Fix a category \mathcal{E} . An *initial object* in \mathcal{E} is an object X such that $\text{hom}(X, Y) = pt$ for any $Y \in \mathcal{E}$.

Note any two initial objects are isomorphic.

DEFINITION 17.9. Fix a diagram $F : \mathcal{D} \rightarrow \mathcal{C}$. A *colimit* for F is an initial object of the category $\mathbf{Fun}_{\mathcal{D}}(\mathcal{D}^{\triangleright}, \mathcal{C})$.

EXAMPLE 17.10. Continuing the previous example, an initial object of $\text{Fun}_{\mathcal{D}}(\mathcal{D}^{\triangleright}, \mathcal{C})$ is some diagram

$$\begin{array}{ccc} & X & \\ & \downarrow f' & \\ X' & \xrightarrow{g'} & Z \end{array}$$

such that for any other diagram (below indicated using f'', g'', W) there is a *unique* morphism $h : Z \rightarrow W$ making the following commute:

$$\begin{array}{ccccc} & X & & & \\ & \downarrow f' & & & \\ X' & \xrightarrow{g'} & Z & & \\ & & \searrow f'' & & \\ & & & W & \\ & & \nearrow h & & \\ & & g'' & & \end{array}$$

DEFINITION 17.11. A colimit in the shape of $\mathcal{D} = * \coprod *$ is called a *coproduct* in \mathcal{C} .

17.2. Limits. One can likewise define limits as an initial object in

$$\text{Fun}_{\mathcal{D}^{\text{op}}}((\mathcal{D}^{\text{op}})^{\triangleright}, \mathcal{C}^{\text{op}}).$$

But this is opaque. Here are the dual constructions to define limits, spelled out:

DEFINITION 17.12. Fix a category \mathcal{D} . The (right) *cone* category on \mathcal{D} , denoted

$$\mathcal{D}^{\triangleleft}$$

is the category where

- (1) $\text{Ob } \mathcal{D}^{\triangleleft} := \{*\} \coprod \text{Ob } \mathcal{D}$
- (2)

$$\text{hom}_{\mathcal{D}^{\triangleleft}}(X, Y) := \begin{cases} \text{hom}_{\mathcal{D}}(X, Y) & X, Y \in \text{Ob } \mathcal{D} \\ pt & X = * \\ \emptyset & \text{otherwise} \end{cases}$$

EXAMPLE 17.13. With \mathcal{D} as above, $\mathcal{D}^{\triangleleft}$ looks as follows:

$$\begin{array}{ccc} * & \longrightarrow & * \\ \downarrow & & \\ *. & & \end{array}$$

DEFINITION 17.14. Fix a diagram $F : \mathcal{D} \rightarrow \mathcal{C}$. Then define

$$\mathbf{Fun}_{\mathcal{D}}(\mathcal{D}^{\triangleleft}, \mathcal{C})$$

to be the category where

- (1) An object is a functor $F' : \mathcal{D}^{\triangleleft} \rightarrow \mathcal{C}$ such that $F'|_{\mathcal{D}} = F$; i.e., the restriction to \mathcal{D} is the original diagram.
- (2) A morphism is a natural transformation $\eta : F' \rightarrow F''$ such that $\eta_{\mathcal{D}} = \text{id}_F$; i.e., the restriction to \mathcal{D} is just the identity natural transformation.

DEFINITION 17.15. Fix a category \mathcal{E} . A *terminal object* in \mathcal{E} is an object Y such that $\text{hom}(X, Y) = pt$ for any $X \in \mathcal{E}$.

DEFINITION 17.16. Fix a diagram $F : \mathcal{D} \rightarrow \mathcal{C}$. A *limit* for F is a terminal object of the category $\mathbf{Fun}_{\mathcal{D}}(\mathcal{D}^{\triangleleft}, \mathcal{C})$.

TABLE 3. Some notation. Note that the word “limit” can be used to describe both limits and colimits in the literature; the words “inverse” or “directed” give indication of whether one’s talking about limits or colimits.

Colimits	Limits
$\text{colim}(F : \mathcal{D} \rightarrow \mathcal{D})$	$\text{lim}(F : \mathcal{D} \rightarrow \mathcal{C})$
$\text{colim}_{\mathcal{D}} F$	$\text{lim}_{\mathcal{D}} F$
$\text{colim } F$	$\text{lim } F$
$\text{lim}_{\rightarrow} \mathcal{D}$	$\text{lim}_{\leftarrow} \mathcal{D}$
“directed limit”	“inverse limit”

17.3. Exercises.

EXERCISE 17.17. Articulate the universal property of quotients of R -modules using colimits.

EXERCISE 17.18. Articulate the p -adic integers as a limit in rings.

Solutions: Fix $f : A \rightarrow B$ a map of R -modules and $\pi : B \rightarrow B/f(A)$ the quotient map. The universal property of quotients $B/f(A)$ says that for any map $\phi : B \rightarrow C$ of R -modules for which $\ker \phi \supset f(A)$, there is a unique morphism $\phi' : B/f(A) \rightarrow C$ such that $\phi' \circ \pi = \phi$.

But the requirement $\ker \phi \supset f(A)$ is expressing the commutativity of the following diagram:

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow & & \searrow \phi \\ 0 & & C \end{array}$$

And the universal property is expressing the uniqueness of ϕ' in the commutative diagram below:

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & & \\ \downarrow & & \downarrow \pi & \searrow \phi & \\ 0 & \longrightarrow & B/f(A) & \xrightarrow{\phi'} & C \end{array}$$

So $\mathcal{D} = * \leftarrow * \rightarrow *$ is the shape, and any functor $\mathcal{D} \rightarrow RMod$ looking like

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow & & \\ 0 & & \end{array}$$

has a colimit given by the quotient $B/f(A)$ (equipped with the quotient map $B \rightarrow B/f(A)$).

As for the next exercise, the p -adics can be written as a *limit*

$$\dots \rightarrow \mathbb{Z}/p^2\mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z}$$

of a functor from $\mathcal{D} = (\mathbb{Z}_{\leq 0}, \leq)$ to Rings.

Analogously, we have the sequence of rings

$$\dots \rightarrow \mathbb{C}[x]/x^3 \rightarrow \mathbb{C}[x]/x^2 \rightarrow \mathbb{C}[x]/x \cong \mathbb{C}$$

whose limit is $\mathbb{C}[[x]]$, the ring of power series. This sequence has a geometric interpretation: $\mathbb{C}[x]/x \cong \mathbb{C}$ is the functions on the origin in \mathbb{A}^1 (the complex line), and $\mathbb{C}[x]/x^n$ is the $(n-1)$ st order neighborhood