

Let's start with leftovers in group theory from the previous lecture, ( $\equiv$  end of lecture 4 notes) related to normal subgroups ( $K \subset G$  s.t.  $aKa^{-1} = K \forall a \in G$ ) and commuting vs. not commuting.

\* We've talked about the center  $Z(G) = \{z \in G \mid az = za \forall a \in G\}$ .

Since elements of the center commute with everyone, they commute w/ each other, so  $Z(G)$  is abelian! Also,  $aZ(G)a^{-1} = Z(G)$ , so  $Z(G)$  is a normal subgroup of  $G$ .

\* Another interesting object is the commutator subgroup  $C(G) = [G, G] = \left\langle \prod_{i=1}^k [a_i, b_i] \mid a_i, b_i \in G \right\rangle$  where  $[a, b] := aba^{-1}b^{-1}$  (the "commutator" of  $a$  &  $b$ ,  $= e$  iff  $ab = ba$ ).

This is a normal subgroup because  $g^{-1} \prod_{i=1}^k [a_i, b_i] g = \prod_{i=1}^k [g^{-1}a_i g, g^{-1}b_i g]$ .  
 $\Rightarrow g^{-1}C(G)g = C(G) \quad \forall g \in G$ .

The quotient  $G/[G, G]$  is called the abelianization of  $G$ .

Since  $[G, G]$  contains all commutators  $[a, b]$ , quotienting makes  $[a, b] = e$  in the quotient group, i.e.  $ab = ba \quad \forall a, b \in G/[G, G]$ .

Since  $[G, G]$  is generated by commutators, it is the smallest subgroup of  $G$  with that property. The abelianization is the largest abelian group onto which  $G$  admits a surjective homomorphism.

\* The free group  $F_n$  on  $n$  generators  $a_1, \dots, a_n$ .

Elements are all reduced words  $a_{i_1}^{m_1} \dots a_{i_k}^{m_k}$   $k \geq 0$  (empty word is  $e$ )  
 $i_1, \dots, i_k \in \{1, \dots, n\}$   $i_j \neq i_{j+1}$   
 $m_1, \dots, m_k \in \mathbb{Z} - \{0\}$

(non-reduced words: reduce by:  
 • if  $i_j = i_{j+1}$ , combine  $a_{i_j}^{m_j} a_{i_{j+1}}^{m_{j+1}} \rightarrow a_{i_j}^{m_j + m_{j+1}}$   
 • if an exponent is zero, remove  $a_i^0$ ).

Repeat until word is reduced.

• This is the "largest" group with  $n$  generators, all others are  $\cong$  quotients of  $F_n$ .

If  $G$  is generated by  $g_1, \dots, g_n \in G$ , define a homomorphism

$$F_n \rightarrow G \quad \text{by} \quad \prod a_{i_j}^{m_j} \mapsto \prod g_{i_j}^{m_j}. \quad (*)$$

• A finitely generated group is said to be finitely presented if the kernel of  $(*)$  is the smallest normal subgroup of  $F_n$  containing some finite subset  $\{r_1, \dots, r_k\} \subset F_n$ , (i.e. the subgroup generated by  $r_j$ 's and their conjugates  $x^{-1}r_jx$ ).

Write  $G \cong \langle a_1, \dots, a_n \mid r_1, \dots, r_k \rangle$ , then  $G \cong F_n / \langle \text{conj's of } r_1, \dots, r_k \rangle$   
 generators relations.

Ex:  $\mathbb{Z}^n \cong \langle a_1, \dots, a_n \mid a_i a_j a_i^{-1} a_j^{-1} \forall i, j \rangle$ .

Ex:  $S_3 \cong \langle t_1, t_2 \mid t_1^2, t_2^2, (t_1 t_2)^3 \rangle$

Now we move on to rings & fields on the way to vector spaces. (Artin ch.3/Axler ch.1-2) ②  
 (groups will return later).

## Rings and fields:

Def: A (commutative) ring is a set  $R$  with two operations  $+$ ,  $\times$  such that

- (1)  $(R, +)$  is an abelian group with identity  $0 \in R$
- (2)  $(R, \times)$  is a (commutative) semigroup with identity  $1 \in R$ , namely
  - $1a = a1 = a \quad \forall a \in R$
  - $a(bc) = (ab)c \quad \forall a, b, c \in R$ .
  - $ab = ba \quad \forall a, b \in R$  if commutative
- (3) distributive law:  $a(b+c) = ab+ac \quad \forall a, b, c \in R$ .

Def: A field  $K$  is a commutative ring such that  $\forall a \neq 0, \exists b = a^{-1}$  st.  $ab = 1$ .  
 i.e.  $(K \setminus \{0\}, \times)$  is an abelian group rather than a semigroup.

Rmb: the ring axioms imply  $0a = a0 = 0 \quad \forall a$ . ( $a0 = a(0+0) = a0+a0$ )  
 + cancellation.

the trivial ring  $R = \{0\}$  is the only case where  $0 = 1$

By convention this is not a field.

- most rings of interest to us are commutative. (Matrices are the main exception)
- in a field,  $ab = 0 \Rightarrow a = 0$  or  $b = 0$ . Not necessarily true in a ring.
- hence, in a field, we have usual properties of cancellation (simplification) for both addition & multiplication.

Def: A ring/field homomorphism is a map  $\varphi: R \rightarrow S$  that respects both operations:

$$\varphi(a+b) = \varphi(a) + \varphi(b) \quad (\leftarrow \text{we've seen this implies } \varphi(0) = 0, \varphi(-a) = -\varphi(a))$$

$$\varphi(ab) = \varphi(a)\varphi(b)$$

$$\varphi(1_R) = 1_S \quad (\leftarrow \text{this doesn't follow from } \varphi(ab) = \varphi(a)\varphi(b), \text{ even for fields: consider } \varphi = 0!)$$

Prop: If  $\varphi: R \rightarrow S$  is a field homomorphism, then  $\varphi$  is injective.

PF: if  $a \neq 0$  then  $\exists b$  st.  $ab = 1_R$ , so  $\varphi(a)\varphi(b) = \varphi(ab) = 1_S \neq 0_S$   
 which implies  $\varphi(a) \neq 0_R$ . So  $\ker(\varphi) = \{0\}$ , hence  $\varphi$  injective.  
 $\hookrightarrow$  as additive group homom.  $\square$

Example:

- $\mathbb{Z}, \mathbb{Z}/n$  are rings.
- $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are fields. So is  $\mathbb{Z}/p$  for  $p$  prime !!  
 $\rightarrow$  This is denoted  $\mathbb{F}_p$  when viewed as a field.

because: if  $k \neq 0$  in  $(\mathbb{Z}/p, +)$  then its order is  $p$  (divides  $p, \neq 1$ ), so  $\{0, k, 2k, \dots, (p-1)k\} = \mathbb{Z}/p$ .  
 hence  $\exists l \in \{0, \dots, p-1\}$  st.  $lk = 1 \pmod p$ . This gives the inverse!

\* Polynomials: || given a field  $k$ , the ring of polynomials in one formal variable  $x$  is  $k[x] := \{a_0 + a_1x + \dots + a_nx^n \mid a_i \in k, n \in \mathbb{N}\}$

Remark:  $x$  is a formal variable i.e. not an element of anything, though we can evaluate a polynomial at an element of  $k$  or of any field containing  $k$ .

so: a polynomial  $\Leftrightarrow$  a finite tuple of elements  $(a_0, \dots, a_n, 0, 0, \dots)$  of  $k$ , with component-wise addition [but not component-wise multiplication!  $x^i x^j = x^{i+j}$ ]

\* ||  $k[x]$  is not a field, but it can be turned into a field by considering fractions (just like  $\mathbb{Z}$  ring  $\rightarrow \mathbb{Q}$  field): the field of rational functions is

$$k(x) = \left\{ \frac{p}{q} \mid p, q \in k[x], q \neq 0 \right\} / \frac{p}{q} \sim \frac{p'}{q'} \text{ iff } pq' = qp'$$

(This generalizes to polynomials & rational functions in any number of variables)

\* Power series: || The ring of formal power series in  $x$  is  $k[[x]] = \left\{ \sum_{i=0}^{\infty} a_i x^i \mid a_i \in k \right\}$

(add and multiply just like polynomials, term by term. check each coefficient in  $(\sum a_i x^i)(\sum b_j x^j)$  is a finite expression.)

Lemma: ||  $\sum a_i x^i$  has a multiplicative inverse in  $k[[x]]$  iff  $a_0 \neq 0$ .

Proof: We want  $\sum_{i \geq 0} b_i x^i$  st.  $(\sum_{i \geq 0} a_i x^i)(\sum_{i \geq 0} b_i x^i) = 1$ . This gives

$$\left. \begin{array}{l} a_0 b_0 = 1 \\ a_0 b_1 + a_1 b_0 = 0 \\ a_0 b_2 + a_1 b_1 + a_2 b_0 = 0 \\ \dots \end{array} \right\} \begin{array}{l} \rightarrow \text{if } a_0 = 0, \text{ clearly no solution; if } a_0 \neq 0, \text{ we can} \\ \text{solve inductively: } b_0 = \frac{1}{a_0}, b_1 = -\frac{a_1 b_0}{a_0}, \dots \\ \text{(each step is } b_i = -\frac{\dots}{a_0} \text{)} \quad \checkmark \end{array} \quad \square$$

$\rightarrow$  since every nonzero element of  $k[[x]]$  is of the form  $a_m x^m + a_{m+1} x^{m+1} + \dots = x^m \underbrace{(a_m + a_{m+1} x + \dots)}_{\text{invertible}}$ , to get a field we just need to allow  $x^{-m}$ .

$\rightarrow$  Def: || The field of Laurent series  $k((x)) = \left\{ \sum_{i=m}^{\infty} a_i x^i \mid m \in \mathbb{Z}, a_i \in k \right\}$ .

\* Given a field  $k$ , and a polynomial  $f \in k[x]$  (of degree  $> 0$ ), we can evaluate  $f(r)$ ,  $r \in k$ , and look for roots  $r \in k$  st.  $f(r) = 0$ .

If there are none in  $k$ , we can form a field  $K \supset k$  in which  $f$  has a root.

Ex:  $k = \mathbb{Q}$ ,  $x^2 - 2$  has no roots, but we can form  $\mathbb{Q}(\sqrt{2}) := \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}$  which is a field  $\left(\frac{1}{a+b\sqrt{2}} = \frac{a-b\sqrt{2}}{a^2-2b^2}\right)$  (4)  
 Ex:  $k = \mathbb{R}$ ,  $x^2 + 1 \leadsto \mathbb{R}(\sqrt{-1}) = \mathbb{C}$ .  
 aka  $i \in \mathbb{Q}(\sqrt{2})$

### Vector spaces:

Def: fix a field  $k$ . A vector space over  $k$  is a set  $V$  with two operations:

- (1) addition  $+$ :  $V \times V \rightarrow V$
- (2) scalar multiplication  $\cdot$ :  $k \times V \rightarrow V$

such that (1)  $(V, +)$  is an abelian group (denote by  $0$  the identity element)

- (2)  $1v = v \quad \forall v \in V$
  - (3)  $(ab)v = a(bv) \quad \forall a, b \in k, \forall v \in V$
  - (4)  $(a+b)v = av + bv \quad \forall a, b \in k, \forall v \in V$
  - (5)  $a(v+w) = av + aw \quad \forall a \in k, \forall v, w \in V$
- } identity and associativity for  $\cdot$   
 } distributive property

(Note:  $0v = 0 \quad \forall v \in V$  using distributive property).

Def: A subspace of a vector space is a nonempty subset  $W \subset V$  that is preserved by addition and scalar multiplication:  $W+W \subset W$ ,  $k \cdot W \subset W$ .  
 (so  $W$  is also a vector space!) ↑ in fact  $=W$  ↑ ↳ this implies  $0 \in W$ .

- Examples:
- $k^n = \{(a_1, \dots, a_n) \mid a_i \in k\}$  with componentwise addition / scalar mult.
  - $k^\infty = \{(a_i)_{i \in \mathbb{N}} \mid a_i \in k\}$  (sequences in  $k$ )  $\supset$  {sequences which are eventually zero}
  - $k[[x]] \supset k[x]$  (isomorphic to the previous example!)
  - given any set  $S$ ,  $k^S = \{\text{maps } f: S \rightarrow k\}$  ( $k^\infty \Leftrightarrow$  case  $S = \mathbb{N}$ ).
  - $\{\text{maps } \mathbb{R} \rightarrow \mathbb{R}\} \supset \{\text{continuous maps}\} \supset \{\text{differentiable maps } \mathbb{R} \rightarrow \mathbb{R}\}$

Basic notions about vector spaces: let  $V$  be a vector space /  $k$ .

Def: Given  $v_1, \dots, v_n \in V$ , the span of  $v_1, \dots, v_n$  is the smallest subspace of  $V$  which contains  $v_1, \dots, v_n$ . Concretely,  $\text{span}(v_1, \dots, v_n) = \{a_1 v_1 + \dots + a_n v_n \mid a_i \in k\}$

Def: say  $v_1, \dots, v_n$  span  $V$  if  $\text{span}(v_1, \dots, v_n) = V$ .

Def: We say  $v_1, \dots, v_n \in V$  are linearly independent if  
 $a_1 v_1 + \dots + a_n v_n = 0 \Rightarrow a_1 = a_2 = \dots = a_n = 0$ .

(5)

Equivalently, given  $v_1, \dots, v_n \in V$ , we have a linear map  $\phi: k^n \rightarrow V$   
 $(a_1, \dots, a_n) \mapsto \sum a_i v_i$   
 $v_1, \dots, v_n$  are linearly indep<sup>t</sup>  $\Leftrightarrow \phi$  injective  
 $v_1, \dots, v_n$  span  $V$   $\Leftrightarrow \phi$  surjective.

Def:  $(v_1, \dots, v_n)$  are a basis of  $V$  if they are linearly independent and span  $V$ .

Then any element of  $V$  can be expressed uniquely as  $\sum a_i v_i$  for some  $a_i \in k$ .

Ex:  $(1, 0)$  and  $(0, 1)$  are a basis of  $k^2$ . So are  $(1, 1)$  and  $(1, -1)$  for most fields  $k$ .  
(what's the catch? see next time)

One can also consider infinite-dimensional vector spaces: for  $S \subset V$  any subset,

Def:  $\text{span}(S) =$  smallest subspace of  $V$  containing  $S$   
 $= \{ a_1 v_1 + \dots + a_k v_k \mid k \in \mathbb{N}, a_i \in k, v_i \in S \}$

(all finite linear combinations of elements of  $S$ .)

• The elements of  $S$  are linearly independent if there are no finite linear relations:

$$a_1 v_1 + \dots + a_k v_k = 0 \quad (a_i \in k, v_i \in S) \Rightarrow a_1 = \dots = a_k = 0.$$

•  $S$  is a basis of  $V$  if its elements are linearly indep<sup>t</sup> and span  $V$ .

Example:  $\{1, x, x^2, x^3, \dots\}$  is a basis of  $k[x]$ .

• does  $k[[x]]$  have a basis? what is it?