

**Erratum:** in Lecture 3 I gave wrong reason why the lower-limit topology on  $\mathbb{R}$  (basis  $[a, b)$ ) is not metrizable.

If you care:  $\mathbb{R}_\ell$  is actually normal ( $T_4$ ) and has countable basis of neighborhoods ("first-countable") ( $[x, x + \frac{1}{n}]$ ).

But... 1)  $\mathbb{R}_\ell$  has a countable dense subset ( $\mathbb{Q}$ ) but doesn't have countable basis of topology (not "second-countable")

If it were metrizable then balls of radius  $\frac{1}{n}$  around rationals would give a countable basis.

2) Even though  $\mathbb{R}_\ell$  is normal,  $\mathbb{R}_\ell \times \mathbb{R}_\ell$  is not normal (Munkres §31 ex. 3) hence not metrizable.

Recall: on the product  $X = \prod_{i \in I} X_i = \{(a_i)_{i \in I} \mid a_i \in X_i, \forall i \in I\}$  of top. spaces  $X_i, i \in I$ ,

the most obvious topology = box topology, with basis  $\{\prod_{i \in I} U_i \mid U_i \subset X_i \text{ open } \forall i\}$ , is not as well-behaved as the product topology, which has basis

$$\{\prod_{i \in I} U_i \mid U_i \subset X_i \text{ open, and } U_i = X_i \text{ for all but finitely many } i\}$$

Theorem:  $f: \mathbb{Z} \rightarrow X = \prod_{i \in I} X_i$  is continuous  $\Leftrightarrow$  each component  $f_i: \mathbb{Z} \rightarrow X_i$  is continuous.

$$z \mapsto (f_i(z))_{i \in I} \text{ product top}$$

Pf: • the projection  $p_i: X \rightarrow X_i$  to the  $i^{\text{th}}$  factor is continuous ( $\forall U \subset X_i$  open,  $p_i^{-1}(U)$  is open in product top.). Hence, if  $f$  is continuous, so is  $f_i = p_i \circ f$ .

• conversely, assume all  $f_i$  are continuous, and consider basis element  $\prod_{i \in I} U_i \subset X$  where  $U_i = X_i$  for all but finitely many  $i$ .

$$\text{then } f^{-1}(\prod_{i \in I} U_i) = \{z \in \mathbb{Z} \mid (f_i(z))_{i \in I} \in \prod_{i \in I} U_i\} = \bigcap_{i \in I} f_i^{-1}(U_i)$$

Each  $f_i^{-1}(U_i) \subset \mathbb{Z}$  is open, and all but finitely many are  $= f_i^{-1}(X_i) = \mathbb{Z}$ , so can be omitted from the intersection. So  $f^{-1}(\prod_{i \in I} U_i)$  is the intersection of finitely many open sets in  $\mathbb{Z}$ , hence open.  $\square$

Ex: given a set  $X$  & top. space  $Y$ , let  $F = \{\text{functions } X \rightarrow Y\} = Y^X$  with product top.

Then a sequence  $f_n \in F$  converges to  $f \in F$  iff  $\forall x \in X, f_n(x) \rightarrow f(x)$  in  $Y$ .

(check this!) So: the product topology is the topology of pointwise convergence.

On products of metric spaces, there is another natural topology, finer than product but coarser than box topology – the uniform topology.

This works similarly to the construction of  $d_\infty(x, y) = \sup |y_i - x_i|$  on  $\mathbb{R}^n$ , but for an infinite product the sup might be infinite. So:

- First step: can replace the metric on  $(X, d)$  by  $\bar{d}(x, y) = \min(d(x, y), 1)$ , this is still a metric (check!) and induces the same topology as  $d$  (same balls of radius  $\leq 1$ !)
- Now, given metric spaces  $(X_i, d_i)_{i \in I}$ , replace each  $d_i$  by bounded metric  $\bar{d}_i$ , and define a metric  $\bar{d}_\infty(x, y) = \sup \{\bar{d}_i(x_i, y_i) \mid i \in I\}$  on  $\prod_{i \in I} X_i$  ( $= \sup \{d_i(x_i, y_i)\}$  if it's  $\leq 1$ , else 1)

This is called the uniform metric and induces the uniform topology. (2)

Ex: on  $\mathbb{R}^X = \{\text{functions } X \rightarrow \mathbb{R}\}$ , (with usual distance on  $\mathbb{R}$ ), this is

$$\bar{d}_{\infty}(f, g) = \sup_{x \in X} |f(x) - g(x)| \quad \text{if } \leq 1, \text{ else } 1.$$

so  $f_n \rightarrow f \iff \bar{d}_{\infty}(f_n, f) \rightarrow 0 \iff \sup_{x \in X} |f_n(x) - f(x)| \rightarrow 0$  uniform convergence!

Rmk: The ball of radius  $r \leq 1$  around  $x = (x_i)_{i \in I}$  is contained in  $P_r(x) = \prod_{i \in I} B_r(x_i)$ , but not equal to it (unless  $I$  is finite)!

Indeed,  $d(x_i, y_i) < r \forall i \in I$  only implies  $\bar{d}_{\infty}(x, y) = \sup_{i \in I} \{d(x_i, y_i)\} \leq r$ !

The ball  $B_r(x)$  only contains those  $y$  for which the  $\sup$  is  $< r$ .

In fact:  $B_r(x) = \bigcup_{0 < r' < r} P_{r'}(x) \subset P_r(x) \dots$  and  $P_r(x)$  is not open for  $d_{\infty}$ !

Theorem: || The uniform topology on  $\prod (X_i, d_i)$  is finer than the product topology, and coarser than the box topology (strictly if  $I$  is infinite).

Pf: 1) let  $x = (x_i) \in \prod X_i$ , and  $\prod U_i \ni x$  a basis element in the product top., then  $\forall i \exists \varepsilon_i > 0$  st.  $B_{\varepsilon_i}(x_i) \subset U_i$ . Without loss of generality we can assume  $\varepsilon_i \leq 1 \forall i$ , and  $\varepsilon_i = 1$  for all but finitely many  $i$  (whenever  $U_i = X_i$ ). So  $\varepsilon = \inf(\varepsilon_i) > 0$ , and  $B_{\varepsilon}^{\bar{d}_{\infty}}(x) \subset P_{\varepsilon}(x) \subset \prod B_{\varepsilon_i}(x_i) \subset \prod U_i$ . So  $\prod U_i$  is open in uniform top.:  $T_{\text{product}} \subset T_{\text{uniform}}$ .

2)  $B_r^{\bar{d}_{\infty}}(x) = \bigcup_{0 < r' < r} P_{r'}(x) \hookrightarrow$  balls of uniform top. are open in box topology, so  $T_{\text{uniform}} \subset T_{\text{box}}$ . □

Rmk: on  $\mathbb{R}^N$  the product topology is actually metrizable, using a clever modification of  $\bar{d}_{\infty}$  (see Munkres Thm 20.5), while box isn't metrizable (Munkres end of §21). On uncountable products, neither box nor product are metrizable (—).

The notion of uniform convergence is important in real analysis because it is well behaved wrt continuity and differentiability. For example:

Thm: || given a top space  $X$ , metric space  $Y$ , and a sequence of functions  $f_n: X \rightarrow Y$ , if  $f_n$  is continuous  $\forall n$  and  $f_n \rightarrow f$  uniformly then  $f$  is continuous.

Pf: let  $V \subset Y$  open,  $p \in f^{-1}(V)$ .  $\exists \varepsilon > 0$  st.  $B_{\varepsilon}(f(p)) \subset V$ . Let  $N$  be s.t.  $\sup_{q \in X} d(f_N(q), f(q)) < \frac{\varepsilon}{3}$ .

Let  $U \ni p$  open st.  $q \in U \Rightarrow d(f_N(p), f_N(q)) < \frac{\varepsilon}{3}$  (continuity of  $f_N$ ). Then using triangle ineq.:  $\forall q \in U$ ,  $d(f(p), f(q)) \leq d(f(p), f_N(p)) + d(f_N(p), f_N(q)) + d(f_N(q), f(q)) < \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} = \varepsilon$ . So  $U \subset f^{-1}(B_{\varepsilon}(f(p))) \subset f^{-1}(V)$ . □

Corollary: ||  $C(X, Y) = \{\text{continuous } f: X \rightarrow Y\}$  is a closed subspace of  $(F(X, Y) = Y^X, \text{uniform top.})$ .

## Connected spaces (Munkres §23-24)

Def: A topological space  $X$  is connected if it cannot be written as  $X = U \cup V$  where  $U, V$  are disjoint nonempty open sets. (such a decomposition is called a separation of  $X$ ).  

not connected.

Prop:  $[0,1] \subset \mathbb{R}$  (standard top.) is connected.

Pf: assume  $[0,1] = U \cup V$  separation. without loss of generality,  $0 \in U$ .

let  $a = \sup \{x \in [0,1] \text{ st. } [0,x) \subset U\}$ .

- $0 \in U$ ,  $U$  open  $\Rightarrow [0,\varepsilon) \subset U$  for some  $\varepsilon > 0$ , so  $a > 0$ .
- Can't have  $a \in V$ ; since  $V$  is open this would imply  $(a-\varepsilon, a) \subset V$  for some  $\varepsilon > 0$ , hence  $[0, x) \notin U$  for  $x > a - \varepsilon$ , hence  $\sup \{x \text{ st...}\} \leq a - \varepsilon$ , contradiction. So  $a \in U$ .
- but if  $a < 1$ ,  $U$  open,  $U \ni a \Rightarrow \exists \varepsilon > 0 \text{ st. } (a-\varepsilon, a+\varepsilon) \subset U$ , and by def. of  $a$ ,  $\exists x > a + \varepsilon \text{ st. } [0, x) \subset U$ . Hence  $[0, a + \varepsilon) \subset U$ , contradicting def. of  $a$ .
- hence  $a = 1$ , and since  $U$  is open,  $\exists \varepsilon > 0 \text{ st. } (1-\varepsilon, 1) \subset U$ , & by def. of  $a$ ,  $\exists x > 1 - \varepsilon \text{ st. } [0, x) \subset U$ , hence  $U = [0,1]$ , and  $V = \emptyset$ . Contradiction.  $\square$

Ex:  $[0,1) \cup (1,2]$  is not connected, since  $[0,1)$  and  $(1,2]$  are open in subspace topology & provide a separation. More generally,  $x < y < z \in \mathbb{R}$ ,  $x, z \in A, y \notin A \Rightarrow A$  disconnected.

Thm:  $f: X \rightarrow Y$  continuous,  $X$  connected  $\Rightarrow f(X) \subset Y$  is connected.

Pf: If  $U \cup V$  is a separation of  $f(X)$ , then  $f^{-1}(U) \cup f^{-1}(V)$  is a separation of  $X$ , contradiction!  
(subspace top.:  $U = f(X) \cap U' \neq \emptyset$ ,  $U'$  open in  $Y \Rightarrow f^{-1}(U) = f^{-1}(U')$  open in  $X$ ;  $f^{-1}(U) \cap f^{-1}(V) = f^{-1}(U \cap V) = \emptyset$ ).

Corollary: intermediate value theorem

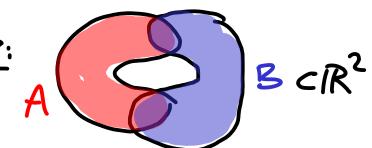
Theorem:  $X$  connected top space,  $f: X \rightarrow \mathbb{R}$  continuous.

If  $a, b \in X$  and  $r$  lies between  $f(a)$  and  $f(b)$ , then  $\exists c \in X$  st.  $f(c) = r$ .

Pf. Since  $X$  is connected, so is  $f(X)$ . If  $r \notin f(X)$  then

$U = (-\infty, r) \cap f(X)$  and  $V = (r, \infty) \cap f(X)$  gives a separation of  $f(X)$  (one contains  $f(a)$  and the other contains  $f(b)$ ) - contradiction. So  $r \in f(X)$ .  $\square$ .

Fact:  $A, B \subset X$  connected (for subspace top.)  $\Rightarrow A \cap B$  connected. Ex:



But things are better for unions of connected sets, provided they overlap.

Thm:  $\parallel A_i \subset X$  connected subspaces, all containing some point  $p \in X$  (ie.  $\cap A_i \neq \emptyset$ )  
 $\parallel$  Then  $Y = \cup A_i$  is connected.

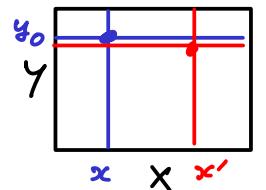
Pf: assume  $Y = U \cup V$  disjoint, open in  $Y$ . Without loss of generality,  $p \in U$ .

Then  $U \cap A_i$  and  $V \cap A_i$  are disjoint, open in  $A_i$ . Since  $A_i$  is connected and  $p \in U \cap A_i$ , must have  $A_i \subset U \forall i$ . Hence  $Y = \cup A_i \subset U$  (and  $V = \emptyset$ ). So  $Y$  is connected.  $\square$

Corollary:  $\parallel \mathbb{R}$  is connected; so are open, half-open, and closed intervals in  $\mathbb{R}$ .

Thm:  $\parallel X, Y$  connected  $\Rightarrow X \times Y$  is connected.

Pf: Fix  $(x_0, y_0) \in X \times Y$ . Then  $\forall x \in X, A_{x,y} = (X \times \{y_0\}) \cup (\{x\} \times Y)$  is connected by previous thm (both pieces contain  $(x_0, y_0)$ ) and now  $X \times Y = \bigcup_{x \in X} A_x$  (all containing  $(x_0, y_0)$ )  $\Rightarrow X \times Y$  connected.  $\square$



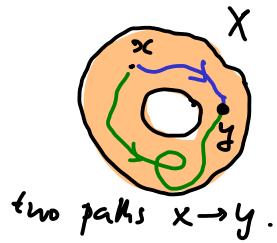
In fact, more is true:  $\parallel X_i, i \in I$  connected  $\Rightarrow \prod_{i \in I} X_i$  with product top. is connected.  
 (won't prove).

(This is false for uniform and box topologies: eg.  $\mathbb{R}^I = \{\text{functions } I \rightarrow \mathbb{R}\}$  for infinite  $I$ . Say  $f: I \rightarrow \mathbb{R}$  is bounded if  $f(I) \subset \mathbb{R}$  bounded subset. Then  $\{\text{bounded}\} \cup \{\text{unbounded}\}$  is a separation of  $\mathbb{R}^I$  in uniform topology.).

### Path-connectedness:

Def:  $\parallel X$  top. space,  $x, y \in X$ , a path from  $x$  to  $y$  is a continuous map  
 $f: [a, b] \rightarrow X$  st.  $f(a) = x$  and  $f(b) = y$ .  
 ↪ subspace top. of standard  $\mathbb{R}$

Def:  $\parallel X$  is path-connected if every pair of points in  $X$  can be joined by a path.



two paths  $x \rightarrow y$ .

Note: The relation  $x \sim y \Leftrightarrow x$  and  $y$  can be connected by a path is an equivalence relation, ie.

- (1)  $x \sim x$  (constant path  $f(t) = x$ )
- (2)  $x \sim y \Leftrightarrow y \sim x$  (backwards path  $f(-t)$ )
- (3)  $x \sim y$  and  $y \sim z \Rightarrow x \sim z$

(concatenate paths:  $f = \begin{cases} f_1(t) & t \in [a, c] \\ f_2(t) & t \in [c, b] \end{cases}$ )

The equivalence classes are called the path components of  $X$ . (will return to these in alg. topology!)

Thm:  $\parallel$  if  $X$  is path connected then  $X$  is connected.

The converse is false in general, but true for nice enough spaces eg. CW-complexes.