

The Brower fixed point theorem:

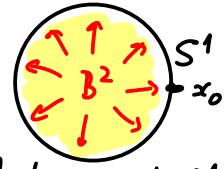
Let  $B^n$  denote the closed ball of radius 1 in  $\mathbb{R}^n$ , with boundary the unit sphere  $S^{n-1}$ .

Recall that, if  $A \subset X$ , a retraction  $r: X \rightarrow A$  is a continuous map st.  $r(a) = a \forall a \in A$ .

Then: There is no retraction of  $B^2$  onto  $S^1$ .

Pf: if  $r: B^2 \rightarrow S^1$  is a retraction, then  $i \circ r = id_{S^1}$ , so

$$\begin{array}{ccccc} \pi_1(S^1, x_0) & \xrightarrow{i_*} & \pi_1(B^2, x_0) & \xrightarrow{r_*} & \pi_1(S^1, x_0) \\ \cong \mathbb{Z} & & \cong \mathbb{Z} & & \text{if } i_* r_* = \text{trivial hom. } \neq id: \mathbb{Z} \rightarrow \mathbb{Z}. \\ & & \{1\} \text{ (convex } \subset \mathbb{R}^2, \text{ straight line homotopy)} & & \text{Contradiction. } \square \end{array}$$



(More elementary way to say this: given a nontrivial loop  $f$  in  $S^1$ , if  $f$  is nullhomotopic in  $B^2$ , via some homotopy  $H$  from  $f$  to  $e_{x_0}$ . Then  $r \circ H$  is a path-homotopy  $f \rightsquigarrow e_{x_0}$  in  $S^1$ , contradiction.)

[With more alg-top., similarly  $\nexists$  retraction  $B^n \rightarrow S^{n-1}$  for  $n$ ].

$\Rightarrow$  Brouwer fixed point theorem:

If  $f: B^2 \rightarrow B^2$  is continuous, then  $\exists x \in B^2$  st.  $f(x) = x$ .

[With more alg-top., the same holds for continuous maps  $B^n \rightarrow B^n$  for  $n$ .]

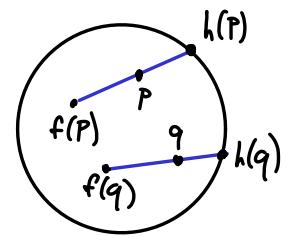
Proof: assume  $f: B^2 \rightarrow B^2$  continuous,  $f(x) \neq x \forall x \in B^2$ .

Then define  $h: B^2 \rightarrow S^1$  by mapping each  $p \in B^2$  to the point where the ray from  $f(p)$  to  $p$  hits  $\partial B^2 = S^1$ .

(formula:  $h(p) = p + t(p - f(p))$  where  $t > 0$  st.  $\|h(p)\|^2 = 1$ .

can solve by quadratic formula, so  $t$  does depend continuously on  $p$ ).

This gives a continuous map  $h: B^2 \rightarrow S^1$ , moreover if  $p \in S^1$  then  $h(p) = p$ , so we get a retraction  $B^2 \rightarrow S^1$ . Contradiction.  $\square$



- \* A loop in  $(X, x_0)$  is defined as a map  $I \rightarrow X$  st.  $\{0, 1\} \rightarrow \{x_0\}$ , but since  $I/\{0, 1\}$  is homeo. to  $S^1$ , can also think of it as a map  $(S^1, p_0) \xrightarrow{f} (X, x_0)$ . So  $\pi_1(X, x_0)$  tells us about homotopy classes of maps  $(S^1, p_0) \rightarrow (X, x_0)$ ... but also  $S^1 \rightarrow X$ .

Lemma: Let  $h: S^1 \rightarrow X$  continuous, then the following are equivalent:

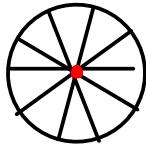
- (1)  $h$  is nullhomotopic
- (2)  $h$  extends to a continuous map  $k: B^2 \rightarrow X$  ( $k|_{\partial B^2 = S^1} = h$ ).
- (3)  $h_*: \pi_1(S^1) \rightarrow \pi_1(X)$  is the trivial homomorphism.

Pf:  $(1) \Rightarrow (2)$  key observn:  $S^1 \times I \xrightarrow{P} B^2$  is a quotient map  
 $(x, t) \mapsto t \cdot x$  ie-  $B^2 \cong S^1 \times I / (x, 0) \sim (x', 0) \forall x, x'$  (2)

So: given a homotopy  $H: S^1 \times I \rightarrow X$   
 Between a constant map and  $h: S^1 \rightarrow X$ ,  
 $H(x, 0) = H(x', 0) \forall x, x' \in S^1$



→



it factors through the quotient  $S^1 \times I \xrightarrow{P} B^2 \xrightarrow{k} X$ . In other terms:

we can define  $k: B^2 \rightarrow X$  by  $k(t \cdot x) = H(x, t)$  despite angular coordinate  $x$  not being well-defined at  $t=0$ , and  $k$  is continuous. By construction  $k|_{S^1} = h$ .

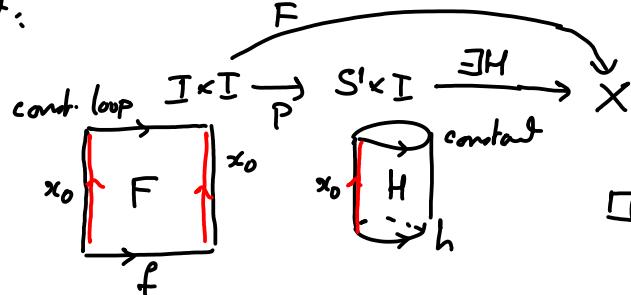
$(2) \Rightarrow (3)$ : if  $h = k|_{S^1}$  then can write  $h = k \circ i$  where  $i: S^1 \rightarrow B^2$  is the inclusion.

By functoriality of  $\pi_1$ ,  $h_* = k_* \circ i_*$ :  $\pi_1(S^1) \xrightarrow{i_*} \pi_1(B^2) \xrightarrow{k_*} \pi_1(X)$   
 but  $\pi_1(B^2) = \{1\}$ , so  $k_*$  is trivial and so is  $h_*$ .  $h_*$

$(3) \Rightarrow (1)$ :  $h_*: \pi_1(S^1) \rightarrow \pi_1(X)$  trivial  $\Rightarrow$  the loop  $f: I \rightarrow X$   $s \mapsto h(e^{2\pi i s})$   
 $(= h \circ (\text{standard loop going around } S^1))$  represents the trivial elt of  $\pi_1(X, x_0)$  ( $x_0 = h(1)$ )  
 hence  $\exists$  path-homotopy  $F: I \times I \rightarrow X$  from  $f$  to constant loop at  $x_0$ ; note that  
 $F(0, t) = F(1, t) = x_0 \forall t \in I$ . Recall  $I \times I / (0, t) \sim (1, t) \forall t$  is homeo. to  $S^1 \times I$ .

↳ this implies  $F$  factors through the quotient:

$H$  gives a homotopy from  $h$  to const. map.



(Ex: the inclusion  $S^1 \hookrightarrow \mathbb{R}^2 - \{0\}$  and the identity map  $S^1 \rightarrow S^1$  aren't nullhomotopic,  
 using lemma +  $i_*$  nontrivial on  $\pi_1$ )

\* Another application: the fundamental thm. of algebra

$\parallel f(z) = z^d + a_{d-1}z^{d-1} + \dots + a_0$  complex polynomial of deg  $d > 0 \Rightarrow \exists z_0 \in \mathbb{C}$  st.  $f(z_0) = 0$ .

Pf: For  $|z| = r > 0$ , the term  $z^d$  dominates (as soon as  $r^k > d |a_{d-k}| \forall 1 \leq k \leq d$ )  
 so that  $|a_{d-k}z^{d-k}| < \frac{1}{d}r^d$ , so straight line segment  $f(z) \rightarrow z^d$  doesn't cross 0.  
 $\Rightarrow F(z, t) = (1-t)f(z) + tz^d$  has no zeros on  $\{|z|=r\} \times I$ .

Hence: the maps  $S^1 \rightarrow S^1$  defined by  $e^{i\theta} \mapsto \frac{f(re^{i\theta})}{|f(re^{i\theta})|}$  and  $e^{i\theta} \mapsto e^{ni\theta}$   
 are homotopic via  $(e^{i\theta}, t) \mapsto F(re^{i\theta}, t) / |F(re^{i\theta}, t)|$ .

These are nontrivial on  $\pi_1(S^1)$  (in fact, map generator  $1 \in \mathbb{Z}$  to  $d \in \mathbb{Z}_{>0}$ ) hence  
 don't extend over  $B^2$ . But if  $f$  had no roots,  $z \mapsto f(rz) / |f(rz)|$  would be such an extension. □

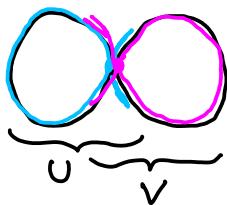
## Further study of $\pi_1$ - introduction to Seifert-van Kampen

(3)

Q: Assume  $X = U \cup V$ , with  $U$  and  $V$  open subsets, and we know  $\pi_1(U)$  and  $\pi_1(V)$ . Can we find  $\pi_1(X)$ ?



$$S^2 = U \cup V, \quad \pi_1(U) \text{ & } \pi_1(V) \text{ trivial}$$



$$\text{Figure 8} = U \cup V, \quad \text{each of } U \text{ & } V \text{ has homotopy type of } S^1.$$

The Seifert-van Kampen, which we'll see soon, gives a general way to calculate  $\pi_1(X)$  in this situation. For now we'll just prove a weaker (and easier) version..

Thm: || Suppose  $X = U \cup V$ ,  $U$  and  $V$  open,  $U \cap V$  path-connected,  $x_0 \in U \cap V$ .  
|| Let  $i: U \hookrightarrow X$  and  $j: V \hookrightarrow X$  be the inclusion maps. Then the images of  $i_*: \pi_1(U, x_0) \rightarrow \pi_1(X, x_0)$  and  $j_*: \pi_1(V, x_0) \rightarrow \pi_1(X, x_0)$  generate  $\pi_1(X, x_0)$ .

i.e.: every element of  $\pi_1(X, x_0)$  can be expressed as a product of elements in  $\text{Im}(i_*)$  and  $\text{Im}(j_*)$  - i.e. every loop in  $(X, x_0)$  is path-homotopic to a composition of loops entirely contained in either  $U$  or  $V$ .

PF: Let  $f: I \rightarrow X$  be a loop based at  $x_0$ .

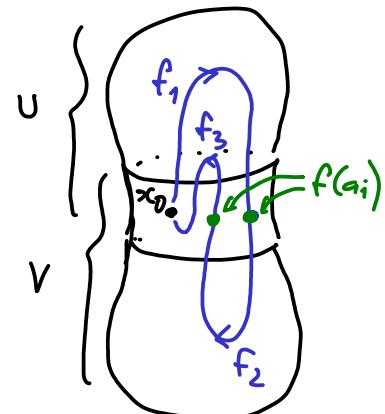
$$[0, 1] = f^{-1}(U) \cup f^{-1}(V) \text{ open cover, } [0, 1] \text{ compact}$$

$\Rightarrow$  using the Lebesgue number lemma, we can subdivide  $[0, 1]$  into  $0 = a_0 < a_1 < \dots < a_n = 1$  s.t.  $f([a_{i-1}, a_i])$  is contained in either  $U$  or  $V$ . Eliminating unnecessary  $a_i$  from the list, can assume  $U$  and  $V$  alternate along the way, and in particular  $f(a_i) \in U \cap V \forall i$ .

$$\text{Let } f_i = f|_{[a_{i-1}, a_i]} \text{ so that } [f] = [f_1] * \dots * [f_n].$$

For each  $i$ , choose a path  $\alpha_i$  in  $U \cap V$  from  $x_0$  to  $f(a_i)$ .  
(take  $\alpha_0 = \alpha_n = \text{constant path at } x_0$ ).

$$\text{Then } [f] = \underbrace{[\alpha_0 * f_1 * \alpha_1^{-1}]}_{\text{loops at } x_0, \text{ entirely contained in } U \text{ or in } V} * \dots * \underbrace{[\alpha_{n-1} * f_n * \alpha_n^{-1}]}_{\text{loops at } x_0, \text{ entirely contained in } U \text{ or in } V}$$



Corollary: ||  $X = U \cup V$  with  $U \& V$  open and simply-connected  
 $U \cap V$  path-connected

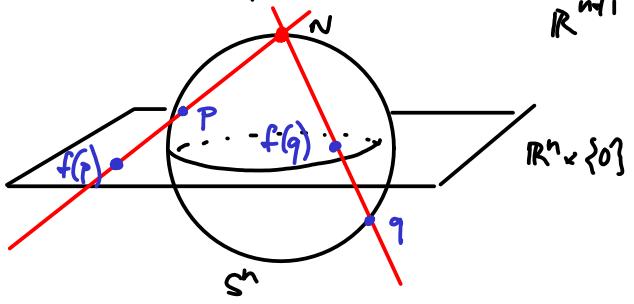
$\Rightarrow X$  is simply-connected.

Ex: Let  $X = S^n$ ,  $n \geq 2$ , and  $U = S^n - (0, 0, \dots, 0, 1)$ ,  $V = S^n - (0, \dots, 0, -1)$   
 $N$ : North pole  $S$ : South pole.

Then  $U$  and  $V$  are homeomorphic to  $\mathbb{R}^n$   
via stereographic projection  $f: U \rightarrow \mathbb{R}^n$

mapping each point  $x \in U$  to the point  
where the line in  $\mathbb{R}^{n+1}$  through  $N$  and  $x$   
intersects the equatorial plane  $\mathbb{R}^n \times \{0\}$ .

$$\text{i.e.: } f(x) = \frac{1}{1-x_{n+1}} (x_1, \dots, x_n)$$



(exercise: check this is a homeo.)

change to + for  $V \cong \mathbb{R}^n$ .

Hence:  $U$  and  $V$ , homeomorphic to  $\mathbb{R}^n$ , are simply connected  
 $U \cap V \subset \mathbb{R}^n - \{\text{point}\}$ , is path-connected ( $n \geq 2$ !)

Corollary:  $\parallel S^n$  is simply connected for  $n \geq 2$ .

$\Rightarrow$  Corollary: an open subset in  $\mathbb{R}^{n \geq 3}$  cannot be homeomorphic to an open subset in  $\mathbb{R}^2$ .

Indeed:  $U \subset \mathbb{R}^n$  open,  $p \in U \Rightarrow \exists$  open ball  $B_r(p) \subset U$ , and  $B_r(p) - \{p\}$  deforms retracts onto a sphere  $\Rightarrow B_r(p) - \{p\}$  is simply connected. Whereas  $q \in V \subset \mathbb{R}^2$  open  $\Rightarrow \forall$  open  $q \in U \subset V$ ,  $N - \{q\}$  can't be simply connected (retracts to circle).

(The argument for  $\mathbb{R}^{n \geq 2}$  vs.  $\mathbb{R}$  is easier, only uses connectedness)

Ex: recall from HW: the quotient of  $S^n$  by  $x \sim -x$ ,  $p: S^n \rightarrow S^n / \sim \cong \mathbb{RP}^n$  is a degree 2 covering map.

$$x \sim -x \quad \begin{array}{c} \text{---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---} \\ \text{---} \end{array} \quad p: S^n \rightarrow \mathbb{RP}^n \quad p^{-1}(V) = U \sqcup (-U) \quad \checkmark$$

Also recall: lifting correspondence  $\pi_1(\mathbb{RP}^n, b_0) \rightarrow p^{-1}(b_0) = \{2 \text{ points}\}$

surjective because  $S^n$  connected; injective because  $S^n$  is simply connected if  $n \geq 2$   
(if a loop  $f$  in  $\mathbb{RP}^n$  lifts to a loop  $\tilde{f}$  in  $S^n$ , then  $\tilde{f}$  is homotopic to constant loop in  $S^n$ ,  
& projecting by  $p$ ,  $p \circ \tilde{f} = f$  is homotopic to a constant loop in  $\mathbb{RP}^n$ ).

For  $n \geq 2$ ,  $\pi_1(\mathbb{RP}^n)$  is a group with 2 elements, hence isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

Ex:  $X = \text{figure 8 space}$ ,  $b \leftarrow \text{---} \rightarrow a$

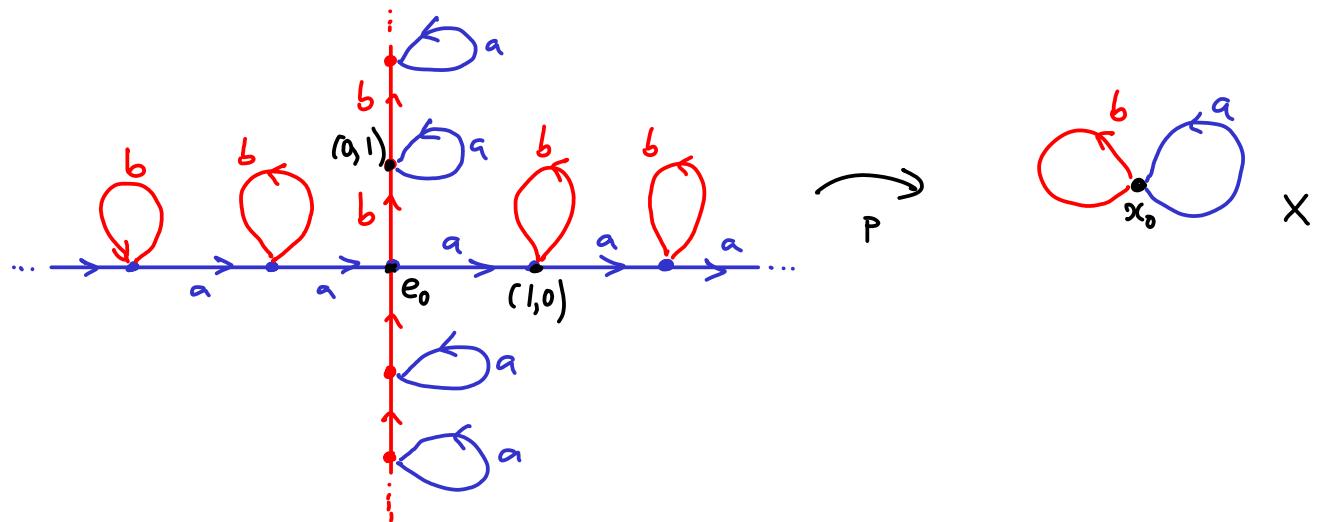
can cover by open  $U, V$  which have deformation retractions to  $S^1$ ,  $U \cap V = X$

By theorem,  $\pi_1(X)$  is generated by the images of two maps from  $\mathbb{Z}$ ,  
i.e. can express every loop in terms of powers of  $[a]$  and  $[b]$  ( $a, b$  loops around each  $S^1$ )  
generators of  $\pi_1(U)$ ,  $\pi_1(V)$  - i.e. every element is a product of  $[a]^{\pm 1}'s$  &  $[b]^{\pm 1}'s$ .

but don't know relations between  $[a]$  and  $[b]$ .

Can show that  $[a]$  and  $[b]$  don't commute -  $[a]*[b] \neq [b]*[a]$ .

One way to do this is by looking at covering map



The lift of  $a*b$  starting at  $e_0$  ends at  $(1,0)$  hence  $[a]*[b] \neq [b]*[a]$   
 $\xrightarrow{a} b \xrightarrow{b} a \xrightarrow{a} \dots$  at  $(0,1)$

so  $\pi_1(X, x_0)$  is not abelian. In fact, we'll show later that it is the free group generated by  $[a]$  and  $[b]$ , ie. elts are arbitrary words in  $[a]^{\pm 1}$  and  $[b]^{\pm 1}$  with no relations whatsoever (except  $[a]^{-1}*[a] = 1$  etc.).